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# VIP-grafted sterically stabilized phospholipid nanomicellar 17-allylamino-17-demethoxy geldanamycin: A novel targeted nanomedicine for breast cancer

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#### **ABSTRACT**

17-Allylamino-17-demethoxy geldanamycin (17-AAG), an inhibitor of heat shock protein 90 (Hsp90) function, is being developed as antitumor drug in patients with breast cancer. However, water-insolubility and hepatotoxicity limit its use. The purpose of this study was to begin to address these issues by determining whether 17-AAG can be formulated in long-circulating (PEGylated), biocompatible and biodegradable sterically stabilized phospholipid nanomicelles (SSM) to which vasoactive intestinal peptide (VIP) was grafted as an active targeting moiety and, if so, whether these nanomicelles are cytotoxic to MCF-7 human breast cancer cells. We found that particle size of 17-AAG loaded in VIP surface-grafted SSM was  $16 \pm 1$  nm and drug content was  $97\pm2\%$  (300  $\mu$ g/ml). Cytotoxicity of 17-AAG loaded in VIP surface-grafted SSM to MCF-7 cells was significantly higher than that of 17-AAG loaded in non-targeted SSM (*p* < 0.05) and similar to that of 17-AAG dissolved in dimethylsulfoxide. Collectively, these data demonstrate that 17-AAG is solubilized at therapeutically relevant concentrations in actively targeted VIP surface-grafted SSM. Cytotoxicity of these nanomicelles to MCF-7 cells is retained implying high affinity VIP receptors overexpressed on these cells mediate, in part, their intracellular uptake thereby amplifying drug potency. We propose that 17-AAG loaded in VIP surface-grafted SSM should be further developed as actively targeted nanomedicine for breast cancer.

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## **1. Introduction**

It is well established that heat shock protein 90 (Hsp90), a ubiquitous intracellular molecular chaperone involved in folding and activation of several signaling proteins, is overexpressed in various cancers, most notably breast cancer [\(Ferrarini et al., 1992;](#page-4-0) [Workman, 2004\).](#page-4-0) This, in turn, promotes growth and survival of tumor cells ([Whitesell et al., 1994; Hanahan and Weinberg, 2000\).](#page-4-0) Accordingly, inhibition of the biologic function of Hsp90 represents

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an important target for cancer therapeutics, including breast cancer (Workman, 2004; Maloney and Workman, 2002; Neckers, 2002; [Belikoff and Whitesell, 2004; Modi et al., 2007\).](#page-4-0)

To this end, 17-allylamino-17-demethoxy geldanamycin (17- AAG), a semi-synthetic derivative of ansamycin antibiotic geldanamycin, binds to ATP binding site in N-terminal domain of Hsp90 thereby mitigating its chaperone activity [\(Workman, 2004;](#page-4-0) [Whitesell et al., 1994; DeBoer et al., 1970\).](#page-4-0) This, in turn, promotes proteasomal-mediated degradation of its client proteins and inhibits cancer cell proliferation ([Workman, 2004; Whitesell et al.,](#page-4-0) [1994; Hanahan and Weinberg, 2000; DeBoer et al., 1970\).](#page-4-0) However, clinical use of 17-AAG is hampered by its water-insolubility and hepatotoxicity ([Modi et al., 2007; Solit et al., 2007; Weigel et](#page-4-0) [al., 2007\).](#page-4-0) Attempts to overcome these problems by formulating 17-AAG in solvents, such as dimethylsulfoxide (DMSO) and cremophor are fraught with potentially serious adverse events ([Modi](#page-4-0) [et al., 2007; Solit et al., 2007; Weigel et al., 2007; Rowinsky et al.,](#page-4-0) [1993\).](#page-4-0) Given Hsp90 are also expressed in normal cells ([Ferrarini](#page-4-0) [et al., 1992; Workman, 2004\),](#page-4-0) administration of these and other non-actively targeted formulations of 17-AAG could also be associ-

*Abbreviations:* 17-AAG, 17-allylamino-17-demethoxy geldanamycin; SSM, sterically stabilized nanomicelles; SSP, sterically stabilized drug particles; VIP, vasoactive intestinal peptide; DMSO, dimethylsulfoxide; DSPE-PEG<sub>2000</sub>, poly(ethylene glycol)-2000-grafted distearoylphosphatidylethanolamine; DSPE-PEG<sub>3400</sub>-SPA, 1,2distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-[methoxy(polyethylene glycol)- 3400]-succinimidyl propionate; Hsp90, heat shock protein 90.

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ated with collateral damage to healthy tissues [\(Modi et al., 2007;](#page-4-0) [Solit et al., 2007; Weigel et al., 2007\).](#page-4-0) Hence, there is an ongoing need to develop new formulations of 17-AAG with improved water-solubility and therapeutic index.

To address both water-insolubility and toxicity of potent anti-cancer drugs, we developed long-circulating, actively targeted, sterically stabilized phospholipid nanomicelles (∼16 nm) using U.S. FDA generally regarded as safe (GRAS) compounds, 1,2-distearoyl-*sn*-glycero-3-phosphoethanolamine-*N*-[methoxy(polyethylene)glycol)-2000] (DSPE-PEG<sub>2000</sub>) to which vasoactive intestinal peptide (VIP), a ubiquitous 28-amino acid pleiotropic mammalian peptide ([Gomariz et al., 2001\),](#page-4-0) was grafted to the tip of PEG molecule as an active targeting moiety ([Krishnadas](#page-4-0) [et al., 2003; Koo et al., 2005; Working and Dayan, 1996\).](#page-4-0)

These phospholipid nanomicelles are simple to prepare, form spontaneously above their critical micellar concentration (micromolar range), stable upon dilution in aqueous environment with reproducible size distribution and are lyophilized without cryoand lyoprotectants for long-term storage [\(Krishnadas et al., 2003;](#page-4-0) [Koo et al., 2005; Ashok et al., 2004; Arleth et al., 2005\).](#page-4-0) Given numerous cancers, most notably breast cancer, overexpress high affinity VIP (VPAC<sub>1</sub>) receptors on plasma membrane of tumor cells, surface grafting of SSM with VIP could promote active targeting of these drug-loaded nanocarriers selectively to cancer through local microvascular enhanced permeability and retention (EPR) effect and subsequent binding of VIP to its cognate receptors on cancer cells ([Dagar et al., 2001; Dagar et al., 2003; Gespach et al., 1988;](#page-4-0) [Reubi, 1996; Rubinstein et al., 2008\).](#page-4-0) This, in turn, will improve the therapeutic index of the anti-cancer drug ([Krishnadas et al., 2003;](#page-4-0) [Koo et al., 2005\).](#page-4-0) Accordingly, we found that VIP surface-grafted SSM solubilize high concentrations of paclitaxel and camptothecin, two potent, albeit water-insoluble, anti-cancer drugs, within their hydrophobic core and amplified the anti-cancer effects of both drugs ([Krishnadas et al., 2003; Koo et al., 2005\).](#page-4-0) Taken together, these data suggest that VIP surface-grafted SSM could also be used to solubilize and actively target 17-AAG to breast cancer.

Thus, the purpose of this study was to begin to address this issue by determining whether 17-AAG can be formulated in VIP surfacegrafted SSM and, if so, whether these nanomicelles are cytotoxic to MCF-7 human breast cancer cells which are known to over express VIP receptors [\(Gespach et al., 1988\).](#page-4-0)

## **2. Materials and methods**

## *2.1. Chemicals*

*N*-[Methoxy (polyethylene glycol-2000)] carbonyl-1,2 distearoyl-sn-glycero-3-phosphoethanolamine (DSPE-PEG<sub>2000</sub>) was obtained from Lipoid GmbH (Ludwigshafen, Germany). *N*-[Methoxy (polyethylene glycol-3400)] carbonyl-1,2-distearoyl*sn*-glycero-3-phosphoethanolamine-succinimidylpropionate (DSPE-PEG3400-SPA) was purchased from Nektar Therapeutics (Huntsville, AL). 17-AAG was procured from A.G. Scientific (San Diego, CA). Vasoactive intestinal peptide was synthesized by Protein Research Laboratory, Research Resources Center, University of Illinois at Chicago. MCF-7 cells (#HTB-22), fetal bovine serum, trypsin-EDTA and Eagle's Minimum Essential Medium (EMEM) with Earle's Balanced Salt System (BSS) were obtained from American Type Culture Collection (Manassas, VA). Bovine insulin, DMSO, HEPES buffer, glycine, Tris–HCl, sulforhodamine B, trichloroacetic acid were purchased from Sigma–Aldrich Chemical Co. (St. Louis, MO). Phosphate buffered saline (PBS) was obtained from Mediatech Cellgro (Herndon, VA). HPLC-grade methanol and acetonitrile were procured from Fisher Scientific (Itasca, IL). All chemicals were of analytical grade and used as received.

## *2.2. Preparation and characterization of 17-AAG in phospholipid nanomicelles*

Dispersions of 17-AAG solubilized in SSM were prepared by the co-precipitation/reconstitution method as previously described in our laboratory [\(Krishnadas et al., 2003; Ashok et al., 2004; Koo et al.,](#page-4-0) [2005\).](#page-4-0) Briefly, varying concentrations of 17-AAG and 5 mM DSPE-PEG<sub>2000</sub> were dissolved in methanol. Solvent was then removed using vacuum rotary evaporator to form a dry film. Complete dryness was accomplished by desiccation under vacuum overnight. Thereafter, the film was rehydrated with HEPES buffer (10 mM; pH 7.4) and resulting dispersion vortexed followed by bath sonication. The dispersion was flushed with argon, sealed and equilibrated for 3 h at room temperature in complete darkness. Excess unsolubilized 17-AAG was removed by centrifugation at 13,000 × *g* for 5 min to obtain a clear dispersion. Optimal SSM formulations were chosen for further studies based on formation of a homogenous system with maximum solubilization of 17-AAG (see below).

Particle size of aqueous dispersions of 17-AAG in different formulations of SSM were determined by quasi-elastic light scattering using a NICOMP 380 Submicron Particle Sizer (Particle Sizing Systems Inc., Menlo Park, CA) equipped with a temperature controlled cell holder, a 5-mW helium–neon laser (excitation at 632.8 nm) with detection at a fixed scattering angle of  $90^\circ$ . Data were analyzed by volume and intensity-weighted distributions ([Krishnadas et al., 2003; Ashok et al., 2004; Koo et al.,](#page-4-0) [2005\).](#page-4-0)

Content of 17-AAG in SSM was determined by RP-HPLC as previously described in our laboratory ([Krishnadas et al., 2003; Ashok et](#page-4-0) [al., 2004; Koo et al., 2005\).](#page-4-0) Clear aqueous drug containing micellar dispersions were dissolved in methanol. Each sample preparation was injected  $(20 \mu l)$  injection volume) in triplicate through a Spectra System AS3500 autosampler (Thermo Separation Products, Waltham, MA) into a Zorbax SB-C18 column (5  $\mu$ m pore size, 4.6 mm ID, 25 cm length; Agilent Technologies, Santa Clara, CA) equipped with a C18 column guard. The column was eluted with mobile phase composed of acetonitrile and water (70:30, v/v) at 1.0 ml/min flow rate (Spectra System P2000). Detection was by UV absorption measurement at 330 nm (Spectra Focus). Chromatographic peak areas were integrated by using Chromquest<sup>TM</sup> 4.0 software, (Thermo Separation Products). A standard curve was generated using 17-AAG dissolved in methanol and sample concentrations were determined by regression analysis of standard curve. The assay was linear over tested concentration range, and there was no interference of phospholipids with the assay [\(Krishnadas et al.,](#page-4-0) [2003; Ashok et al., 2004; Koo et al., 2005\).](#page-4-0)

VIP was conjugated to distal end of PEG moiety of DSPE as previously described in our laboratory [\(Krishnadas et al.,](#page-4-0) [2003; Dagar et al., 2003\).](#page-4-0) Briefly, activated 1,2-distearoyl*sn*-glycero-3-phosphoethanolamine-*N*-[methoxy(polyethylene glycol)-3400]-succinimidyl propionate (DSPE-PEG<sub>3400</sub>-SPA) was used to conjugate VIP to DSPE-PEG $_{3400}$ . For conjugation reaction, VIP and DSPE-PEG3400-SPA in molar ratio of 1:5 (VIP:DSPE-PEG<sub>3400</sub>-SPA) were dissolved separately in cold HEPES buffer (10 mM, pH 6.6). DSPE-PEG<sub>3400</sub>-SPA solution was added in small increments to VIP solution at 4 ◦C with gentle stirring. The reaction was allowed to proceed for 2 h at  $4^{\circ}$ C and then stopped by adding 1 M glycine solution to reaction mixture to consume remaining NHS moieties. Conjugation was ascertained by SDS-PAGE electrophoresis. Thereafter, DSPE-PE $G_{3400}$  reaction mixture was added to 17-AAG loaded in SSM to obtain final concentration of 5 mM phospholipid and 0.3 mM VIP conjugate. The mixture was equilibrated in darkness at 25 ◦C for 30 min to yield VIP surface-grafted SSM loaded with 17-AAG. Particle size and drug content of this formulation was determined as outlined above.

#### *2.3. Cytotoxicity of 17-AAG loaded SSM to MCF-7 cells*

Cytotoxicity of 17-AAG loaded in SSM with and without surfacegrafted VIP to MCF-7 cells was determined as previously described in our laboratory [\(Krishnadas et al., 2003; Koo et al., 2005;](#page-4-0) [Rubinstein et al., 2008\).](#page-4-0) Cells were maintained in humidified atmosphere with 5% CO<sub>2</sub> at 37 °C in EMEM with 2 mM L-glutamine and Earle's BSS adjusted to contain 1.5 g/l sodium bicarbonate, 0.1 mM non-essential amino acids, 1 mM sodium pyruvate and supplemented with 0.01 mg/ml bovine insulin and 10% fetal bovine serum. Cells ( $6 \times 10^4$  cells/ml) were plated in 96-well plate in triplicate. Drugs and controls were serially diluted and added to each well. The concentration of 17-AAG was 0.0025–1.0 µg/ml. Empty SSM and empty VIP surface-grafted SSM at lipid concentration similar to that of highest concentration of micellar 17-AAG (1  $\mu$ g/ml), DMSO (10%) and HEPES buffer were used as vehicle controls. In addition, 17-AAG  $(1.0 \,\mu g/ml)$  dissolved in DMSO  $(10\%)$  was used as positive control. Final concentration of DMSO in each well was 0.5%. Plates were incubated for 72 h in 5%  $CO<sub>2</sub>$  humidified atmosphere at 37 °C. Thereafter, sulforhodamine B cytotoxicity assay was used to determine cell viability spectrophotometrically as previously described ([Vichai and Kirtikara, 2006\)](#page-4-0) by measuring optical density (O.D.) of acetic acid fixed, sulforhodamine B-treated cells at 515 nm (Spectra-Max Plus384, Molecular Devices, Sunnyvale, CA). Readings obtained for buffer controls were used to define 100% growth. Percent cell survival was calculated as:

$$
\% \text{ Survival} = \frac{\text{O.D.}}{\text{O.D.} \text{Samble well}} - \frac{\text{O.D.}}{\text{C.D.} \text{Solvent well}} - \frac{\text{O.D.}}{\text{Solvent well}} - \frac{\text{O.D.}}{\text{Suro day}} \times 100
$$

Growth curves of percent survival versus 17-AAG concentration were plotted and  $GI_{50}$  values calculated using nonlinear regression analysis.

## *2.4. Data and statistical analyses*

Data are expressed as means  $\pm$  S.D. Analysis of variance followed by Tukey's post hoc test were used for statistical analysis. *p* < 0.05 was considered statistically significant.

 $(A)$ 

100

#### **Table 1**

Formulation characteristics of 17-allylamino-17-demethoxy geldanamycin selfassociated with sterically stabilized phospholipid nanomicelles<sup>a</sup>



17-AAG, 17-allylamino-17-demethoxy geldanamycin; SSM, sterically stabilized nanomicelles; SSP, sterically stabilized drug particles.

<sup>a</sup> Data are means  $\pm$  S.D.

## **3. Results**

Table 1 depicts formulation characteristics of 17-AAG selfassociated with SSM. At 17-AAG concentrations up to  $300 \,\mathrm{\upmu g/mL}$ only single homogenous particle species was detected by quasielastic light scattering while above it sterically stabilized drug particles (SSP) ranging from 100 to 400 nm in size were observed as well (Fig. 1A) ([Krishnadas et al., 2003\).](#page-4-0) At 17-AAG concentration of  $400\,\mathrm{\mu g/mL}$ , clear precipitation was seen after centrifugation. Thus, lipid:17-AAG molar ratio of 1:0.1 corresponding to 300  $\mu$ g/ml drug in 5 mM was determined as optimal solubilization ratio for 17-AAG in SSM with drug content of  $93 \pm 3\%$  by RP-HPLC analysis ( $n=3$ ). Particle size (16  $\pm$  1 nm) and drug content (97  $\pm$  2%) of VIP surfacegrafted SSM (5 mM) loaded with 17-AAG (300  $\mu$ g/ml) were similar to those of non-targeted SSM loaded with 17-AAG (Fig. 1B and C).

Cytotoxicity and  $GI<sub>50</sub>$  of various 17-AAG formulations to MCF-7 human breast cancer cells are shown in [Figs. 2 and 3](#page-3-0). Cytotoxicity of VIP surface-grafted SSM loaded with 17-AAG was significantly higher than that of non-targeted SSM loaded with 17- AAG [\(Figs. 2 and 3; e](#page-3-0)ach, *n* = 3; *p* < 0.05). The cytotoxic effects of VIP



**Fig. 1.** Representative particle size distribution of 17-allylamino-17-demethoxy geldanamycin nanoformulations. (A) 17-AAG loaded in SSM with SSP; (B) 17-AAG loaded in SSM; (C) 17-AAG loaded in VIP surface grafted SSM. 17-AAG, 17-allylamino-17-demethoxy geldanamycin; SSM, sterically stabilized nanomicelles; SSP, sterically stabilized drug particles; VIP, vasoactive intestinal peptide.

<span id="page-3-0"></span>

**Fig. 2.** Cytotoxicity of various 17-allylamino-17-demethoxy geldanamycin formulations to MCF-7 human breast cancer cells. Data are means  $\pm$  S.D. after 72-h incubation (each data point, *n* = 3 experiments). Significance markers were omitted for clarity (see Results and Fig. 3 for explanation). 17-AAG, 17-allylamino-17 demethoxy geldanamycin; SSM; sterically stabilized nanomicelles; VIP, vasoactive intestinal peptide; DMSO, dimethylsulfoxide.

surface-grafted SSM loaded with 17-AAG was similar to that of 17- AAG dissolved in DMSO (Figs. 2 and 3; each, *n* = 3; *p* > 0.5). Empty SSM, VIP surface-grafted empty SSM, DMSO and HEPES buffer had no significant effects in MCF-7 cells (*n* = 3; *p* > 0.5; data not shown).

#### **4. Discussion**

The new findings of this study are that 17-AAG was successfully solubilized and monodispersed at therapeutically relevant concentrations (300 µg/ml) in long-acting (PEGylated), biocompatible and biodegradable sterically stabilized phospholipid nanomicelles in absence and presence of surface-grafted VIP as an active targeting moiety. In addition, cytotoxicity of VIP surface-grafted SSM loaded with 17-AAG to MCF-7 human breast cancer cells was similar to that of the drug dissolved in DMSO and superior to that of nontargeted drug-loaded phospholipid nanomicelles. Taken together, these data indicate that 17-AAG formulated in SSM retains its cytotoxicity to MCF-7 human breast cancer cells and that high affinity overexpressed VIP (VPAC<sub>1</sub>) receptors on these cells mediate, in part, intracellular uptake of 17-AAG-loaded phospholipid nanomicelles thereby amplifying drug potency.

The results of this study extend previous reports from our laboratory where both water-insoluble paclitaxel and camptothecin,



Fig. 3. GI<sub>50</sub> (concentration of drug at which 50% growth inhibition is seen) of various 17-allylamino-17-demethoxy geldanamycin formulations to MCF-7 human breast cancer cells. Data are means  $\pm$  S.D. after 72-h incubation (each data point,  $n=3$ experiments). <sup>+</sup>*p* < 0.05 in comparison to 17-AAG-SSM. 17-AAG, 17-allylamino-17 demethoxy geldanamycin; SSM; sterically stabilized nanomicelles; VIP, vasoactive intestinal peptide; DMSO, dimethylsulfoxide.

two potent anti-cancer drugs, were successfully formulated in VIP surface-grafted SSM at therapeutically relevant concentrations in the absence of secondary species, i.e. sterically stabilized drug particles [\(Krishnadas et al., 2003; Koo et al., 2005\).](#page-4-0) Accordingly, we propose that VIP surface-grafted SSM loaded with 17-AAG should be further developed as actively targeted nanomedicine for breast cancer.

We found that unlike VIP surface-grafted SSM loaded with 17- AAG, cytotoxic effects of non-targeted 17-AAG-loaded SSM were smaller than that of 17-AAG dissolved in DMSO. This phenomenon may be related, in part, to greater ability of free 17-AAG molecules to interact with and penetrate plasma membrane of MCF-7 cells and accumulate intracellularly [\(Workman, 2004; Whitesell et al.,](#page-4-0) [1994; Neckers, 2002; Belikoff and Whitesell, 2004; DeBoer et al.,](#page-4-0) [1970\).](#page-4-0) By contrast, self-association of 17-AAG with nanomicelles may impede its interactions with plasma membrane over the observation period (72 h) because drug molecules must be first released from the nanocarrier, a time-dependent phenomenon, before interaction with plasma membrane ensues. This, in turn, may attenuate cytotoxic effects of nanomicellar 17-AAG.

Alternatively, non-targeted 17-AAG-loaded SSM may be internalized into MCF-7 cells as nanoparticles through various pathways, such as phagocytosis, macropinocytosis, clathrinmediated endocytosis and caveolae-mediated endocytosis, that express slower kinetics relative to that of free 17-AAG molecules ([Working and Dayan, 1996\).](#page-4-0) This hypothesis is supported, in part, by recent study from our laboratory showing slower intracellular accumulation of non-targeted hydrophobic quantum dots-loaded sterically stabilized nanomicelles in MCF-7 cells relative to that of nanomicelles decorated with VIP ([Rubinstein et al., 2008\).](#page-4-0) The results of this study extend these observations by demonstrating that cytotoxicity of VIP surface-grafted SSM loaded with 17-AAG is significantly higher in comparison to non-targeted 17-AAG-loaded nanomicelles in these cells.

Conceivably, the nanosize of VIP surface-grafted SSM loaded with 17-AAG (∼16 nm) coupled with their steric stabilization conferred by  $PEG<sub>2000</sub>$  moiety and absence of VIP receptors on plasma membrane of endothelial cells could prolong their circulation time because these nanoparticles will not extravasate from intact microvascular beds nor removed by the reticuloendothelial system [\(Reubi, 1996; Working and Dayan, 1996; Dagar et al., 2001,](#page-4-0) [2003; Krishnadas et al., 2003\).](#page-4-0) This, in turn, would enable active targeting of VIP surface-grafted SSM loaded with 17-AAG to breast cancer through local enhanced permeability and retention (EPR) effect and VIP binding to its high affinity overexpressed cognate receptors on cancer cells thereby improving therapeutic index of the drug [\(Gespach et al., 1988; Reubi, 1996; Gomariz et al., 2001;](#page-4-0) [Krishnadas et al., 2003; Dagar et al., 2001, 2003; Rubinstein et al.,](#page-4-0) [2008\).](#page-4-0) Clearly, additional in vivo studies are warranted to support or refute this hypothesis.

In summary, we found that 17-AAG is solubilized at therapeutically relevant concentrations in actively targeted VIP surface-grafted SSM. Cytotoxicity of these nanomicelles to MCF-7 human breast cancer cells is retained implying high affinity VIP  $(VPAC<sub>1</sub>)$  receptors overexpressed on these cells mediate, in part, their intracellular uptake thereby amplifying drug potency. We propose that 17-AAG loaded in VIP surface-grafted SSM should be further developed as actively targeted nanomedicine for breast cancer.

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